

SKILL DEVELOPMENT COURSE

Course Code- NSLSDC-103

Course Title - COURSE FOR INVESTMENT AND SECURITIES LAW


Total Hours - 35

After completion of the course the student shall be able to:-

1. Learn laws relating to investment and security.
2. Enable the economic and social development depends on security market at national and global levels.

Sr. No	Content	Duration (Hrs)
1	Historical Background of securities and investment laws Securities: the concept, England: Banking corporate finance and private financial services, India: from usury laws to the modern system	5
2	Securities: Kinds Government Securities, Securities issued by banks, Securities issued by corporations, Securities in mutual fund and collective investment scheme, Depository receipts	5
3	Government Securities Bonds issued by government and semi government institutions, Role of Central Bank (the RBI in India), Impact of issuance of bonds on economy, Government loan from the general public, External borrowing, World Bank, I.M.F. Asian Development Bank, Direct loan from foreign government. Government loan: the constitutional dilemma and limitations, Can a state go for external loans?, Impact on economic sovereignty, Dilution of power of the Central Bank (RBI), Treasury Deposits	5
4	Securities Issued by Banks Bank notes: is it the exclusive privilege of the Central Bank in the issue, Changing functions of banks from direct lending and borrowing to modern System, Bank draft, travelers cheques, cheque cards, credit cards, cast cards, Deposits' nature: current, saving and fixed deposits, interest warrants	5
5	Corporate Securities Shares, Debentures, Company deposits, Control over corporate securities, Central government: Company Law Board, SEBI: guide lines on capital issues, RBI, Protection of investor, Administrative regulation, Disclosure regulation, Protection by criminal sanction	5
6	Collective Investment Unit Trust of India, Venture capital, Mutual fund, Control over issue and management of UTI, venture capital and mutual funds, Plantations and horticulture farms, General control, Control by rating, Regulation on rating.	5
7	Investment in non-banking financial institutions Control by usury laws, Control by RBI, Regulation on non-banking financial and non-financial companies, Private-financial companies: registration and regulation, Chit funds	5



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References:-

- 1) "Investment and Securities Markets in India" by Avdhani. V.A, Himalaya Publishing House Publication.
- 2) "Investment and Securities Markets in India", by Khan. M. Y, Tata McGraw Hill Publication.
- 3) "Banking theory, Law and Practice", by K.P.M. Sundaram, P.N.Varshney, Sultan Chand & Sons,
- 4) "The Banking Law in Theory and Practice", by Gupta, S.N, Universal, New Delhi Publication.
- 5) "Guide to the Companies Act", by Ramaiya, A. Wadhwa and Co., New Delhi Publication.
- 6) "Banking theory and Practice", by Shekhar. K.C. Shekar Lekshmy, Vikas Publishing House Publication.
- 7) "Financial Markets and Institutions", by Dr. S. Gurusamy, Tata McGraw Hill Publication.


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